

**EASTERN IOWA MHDS REGION**

**FY21 ANNUAL SERVICE & BUDGET PLAN**

**SUBMITTED  
04/20/2020**

**GEOGRAPHIC AREA:** Cedar, Clinton, Jackson, Muscatine and Scott County

**APPROVED BY ADVISORY BOARD: 04/20/2020**

**APPROVED BY GOVERNING BOARD: 04/20/2020**

## **Table of Contents**

<b>Introduction .....</b>	<b>2</b>
<b>A. Local Access Points.....</b>	<b>3</b>
<b>B. Service Coordination and Targeted Case Management .....</b>	<b>6</b>
<b>C. Crisis Planning.....</b>	<b>7</b>
<b>D. Intensive Mental Health Services.....</b>	<b>12</b>
<b>E. Scope of Services &amp; Budget and Financing Provisions .....</b>	<b>13</b>
Table A. Expenditures .....	16
Table B. Revenues .....	19
Table C. County Levies .....	20
<b>F. Financial Forecasting Measures.....</b>	<b>20</b>
<b>G. Provider Reimbursement Provisions .....</b>	<b>21</b>

## **Introduction**

The Eastern Iowa MHDS Region, hereafter referred to as the EI Region, was formed under Iowa Code Chapter 28E to create a Mental Health and Disability Service (MHDS) Region in compliance with Iowa Code 331.390. The annual service and budget plan is a component of the Management Plan which includes three parts: Annual Service and Budget Plan, Annual Report and Policies and Procedures Manual in compliance with Iowa Administrative Code 441.25.

The FY2021 Annual Service and Budget Plan covers the period of July 1, 2020 to June 30, 2021. The Annual Service and Budget Plan includes local access points, targeted case management providers, crisis services, intensive mental health services, a description of the scope of services to be provided including core services, budget and financial provisions, and provider reimbursement provisions.

The EI Region's Governing Board includes new voting and ex-officio members for FY 21. The Regional Governing Board is ultimately responsible for: (a) the advancement of a regional management plan; (b) the development of access to a regional service system for adults with mental illness, intellectual disabilities, developmental disabilities and brain injuries to children with serious emotional disturbances; and (c) the administration of the non-Medicaid funded Mental Health Disability Services (MHDS) and children's behavioral health services as outlined in Iowa Code.

The Adult Regional Advisory Committee is appointed by the Eastern Iowa MHDS Governing Board, and has two (2) from each member county's advisory committee and a director from the Region's Governing Board. The committee members shall include individuals who utilize services or actively involved relatives of such individuals and service providers. The committee shall include the Management Team (Community Services Directors from each member county) as ex officio non-voting members. The advisory committee shall advise the Governing Board as requested by the Governing.

The Child Regional Advisory Committee will also be appointed by the Governing Board. The committee members shall be: parents of children who utilize services or actively involved relatives of such children, a member of the education system, an early childhood advocate, a child welfare advocate, a children's behavioral health service provider, a member of juvenile court, a pediatrician, a child care provider, a local law enforcement representative and regional Governing Board members. The committee shall include the Management Team (Community Services Director of each member county) as ex officio non-voting members. The child advisory committee shall advise the Governing Board as requested by the Governing Board and shall also make designations to the Governing Board as described above.

Below is the current representation from the Region. Members identified as TBD\* will be identified based upon stakeholder meetings:

<b><u>Governing Board Members</u></b>	<b><u>Representing</u></b>	<b><u>Voting Member</u></b>
Dawn Smith	Cedar County Board of Supervisor Representative	Yes
Jim Irwin	Clinton County Board of Supervisor Representative	Yes
John Willey	Jackson County Board of Supervisor Representative	Yes
Jeff Sorensen	Muscatine County Board of Supervisor Representative	Yes
Ken Beck	Scott County Board of Supervisor Representative	Yes
Lynne Hilgendorf	Adults/Actively Involved Relatives of an Adult who Utilizes Mental Health and Disability Services Representative <i>(designated by the regional adult mental health and disability services advisory committee)</i>	Yes

*TBD (currently open position in the EI Region)	Regional MHDS Adult Service Providers ( <i>designated by the regional adult mental health and disability services advisory committee</i> )	No
*TBD	Parents/Actively Involved Relatives of a Child who Utilizes Children's Behavioral Health Services ( <i>designated by the regional children's behavioral health services advisory committee</i> )	Yes
*TBD	The Regional Education System ( <i>designated by the regional children's behavioral health services advisory committee</i> )	Yes
*TBD	Regional Children's Behavioral Health Service Providers ( <i>designated by the regional children's behavioral health services advisory committee</i> )	No

<b><u>Adult Advisory Board Members</u></b>	<b><u>Representing</u></b>
Open	Cedar County Individual Representing Service Providers
Open	Cedar County Active Relative/Individual Utilizing Services
Melissa Peterson	Clinton County Individual Representing Service Providers
Lynne Hilgendorf	Clinton County Active Relative/Individual Utilizing Services
Susie Ruchotzke	Jackson County Individual Representing Service Providers
Holly Parmer	Jackson county Active Relative/Individual Utilizing Services
Nancy Nauman	Muscatine County Individual Representing Service Providers
Luana Gredell	Muscatine County Active Relative/Individual Utilizing Services
Richard Whitaker, PhD	Scott County Individual Representing Service Providers
Open	Scott County Active Relative/Individual Utilizing Services
Julie Tischuk	Cedar County Community Services Director
Becky Eskildsen	Clinton County Community Services Director
Lynn Bopes	Jackson County Community Services Director
Felicia Toppert	Muscatine County Community Services Director
Lori Elam	Scott County Community Services Director/EI Region CEO
John Willey	A Director of the EI Region Governing Board

<b><u>Child Advisory Board Member</u></b>	<b><u>Representing</u></b>
Jen Cobb	Parents/Actively Involved Relatives of a Child who Utilizes Children's Behavioral Health Services
Fabiola Manyi-Orellana	Parents/Actively Involved Relatives of a Child who Utilizes Children's Behavioral Health Services
Aaron Roome	The Education System
Jaclyn Hunt	Early Childhood Advocates
Michael Terry	Early Childhood Advocates
Michelle Mehrens	Child Welfare Advocates
Jen Armstrong	Child Welfare Advocates
Dr. Novia Anderson	Children's Behavioral Health Service Providers
Joan McGovern	Children's Behavioral Health Service Providers
Marlie Atwood	Children's Behavioral Health Service Providers
*TBD	The Juvenile Court System
*TBD	Pediatricians
Patty Robinson	Child Care Providers
Orville Randolph	Local Law Enforcement
*TBD	Regional Governing Board
Julie Tischuk	Cedar County Community Services Director
Becky Eskildsen	Clinton County Community Services Director
Lynn Bopes	Jackson County Community Services Director
Felicia Toppert	Muscatine County Community Services Director
Lori Elam	Scott County Community Services Director/EI Region CEO
*TBD	A Director of the EI Region Governing Board

## **A. Local Access Points**

An access point is a part of the Eastern Iowa MHDS Region's service system, in which local providers are trained to complete MHDS regional applications for persons with a disability.

The EI Region has designated the following access points for adults:

<b><u>Access Point</u></b>	<b><u>Location</u></b>	<b><u>Contact Information</u></b>
Abbe Center for Community Mental Health	1039 Arthur St. Iowa City, IA 52240	(319) 338-7884
Bridgeview Community Mental Health	1320 19 <sup>th</sup> Ave NW Clinton, IA 52732	(563) 243-5633
Cedar County Community Services	400 Cedar St. Tipton, IA 52772	(563) 886-1726
Clinton County Community Supports Dept	1900 N. 3 <sup>rd</sup> St. Clinton, IA 52732	(563) 244-0563
Eagle View Behavioral Health	770 Tanglefoot Lane Bettendorf, IA 52722	(563) 396-2100
Genesis Medical Center	1401 W. Central Park Ave	(563) 421-1000

	Davenport, IA 52804	
Hillcrest Mental Health Center	117 S. Olive St. Maquoketa, IA 52060	(563) 652-4958
Jackson County Mental Health Dept	311 W. Platt Maquoketa, IA 52060	(563) 652-1743
MercyOne- Clinton	1410 N. 4 <sup>th</sup> St. Clinton, IA 52732	(563) 244-5000
Muscatine County Community Services	315 Iowa Ave Suite 1 Muscatine, IA 52761	(563) 263-7512
Robert Young Center	1616 Cedar Muscatine, IA 52761	(563) 262-6263 (309) 779-2100
Scott County Community Services	600 W. 4 <sup>th</sup> St. Davenport, IA 52801	(563) 326-8723
Vera French Community Mental Health Center	1441 W. Central Park Ave Davenport, IA 52804	(563) 383-1900

The EI Region has designated the following access points for children:

<b>Access Point</b>	<b>Location</b>	<b>Contact Information</b>
Abbe Center for Community Mental Health	1039 Arthur St. Iowa City, IA 52240	(319) 338-7884
Bridgeview Community Mental Health	1320 19 <sup>th</sup> Ave. NW Clinton, IA 52732	(563) 243-5633
Child Health Specialty Clinics	865 Lincoln Rd. Suite 500 Bettendorf, IA 52722	(563) 344-2250
Child Health Specialty Clinics	1663 Lincoln Way Suite E Clinton, IA 52732	(563) 243-0295
Cedar County Community Services	400 Cedar St. Tipton, IA 52772	(563) 886-1726
Clinton County Community Supports Dept	1900 N. 3 <sup>rd</sup> St. Clinton, IA 52732	(563) 244-0563
Eagle View Behavioral Health	770 Tanglefoot Lane Bettendorf, IA 52722	(563) 396-2100
Genesis Medical Center	1401 W. Central Park Ave. Davenport, IA 52804	(563) 421-1000
Grant Wood AEA	4401 Sixth St. SW Cedar Rapids, IA 52404	(319) 399-6700
Hillcrest Mental Health Center	117 S. Olive St. Maquoketa, IA 52060	(563) 652-4958
Jackson County Mental Health Dept	311 W. Platt Maquoketa, IA 52060	(563) 652-1743
MercyOne- Clinton	1410 N. 4 <sup>th</sup> St. Clinton, IA 52732	(563) 244-5000
Mississippi Bend AEA	729 21 <sup>st</sup> St. Bettendorf, IA 52722	(563) 359-5967
Mississippi Bend AEA	2001 Manufacturing Court Clinton, IA 52732	(563) 242-6454
Mississippi Bend AEA	1422 Houser St. Muscatine, IA 52761	(563) 263-8476
Muscatine County Community Services	315 Iowa Ave., Suite 1 Muscatine, IA 52761	(563) 263-7512

Robert Young Center	1616 Cedar Muscatine, IA 52761	(563) 262-6263
Scott County Community Services	600 W. 4 <sup>th</sup> St. Davenport, IA 52801	(563) 326-8723
Vera French Community Mental Health Center	1441 W. Central Park Ave. Davenport, IA 52804	(563) 383-1900

## **B. Service Coordination and Targeted Case Management**

The Region continues to provide Service Coordination to individuals who are not eligible for a Community Based Case Manager or an Integrated Health Home Service (IHH). In FY 2021 a Children's Coordinator of Behavioral Health Services will be included as a resource for families and children. The position of Children's Coordinator means a member of the administrative entity staff who meets the requirements described in Iowa Code section 331.390(3) "b" and who is responsible for coordinating behavioral health services for children in the EI Region.

The following agencies provide service coordination and/or targeted case management for the EI Region:

<b>Service Coordination Provider</b>	<b>Adult/Child/Both</b>	<b>Location</b>	<b>Contact Information</b>
Cedar County	Both Adult and Child	400 Cedar St. Tipton IA	563-886-1726
Clinton County	Both Adult and Child	1900 N. 3 <sup>rd</sup> St. Clinton IA	563-244-0563
Jackson County	Both Adult and Child	311 W. Platt St. Maquoketa IA	563-652-1743
Muscatine County	Both Adult and Child	315 Iowa Ave Suite 1 Muscatine IA	563-263-7512
Scott County	Both Adult and Child	600 W. 4 <sup>th</sup> St. Davenport IA	563-326-8723
DHS-TCM	Both Adult and Child	3817 W. Locust St Davenport IA	563-388-1098

The Managed Care Organizations (MCO's) provides the Community Based Case Management programs. It is the intent of the Region to work collaboratively with the MCO's in order to further develop a partnership which will assure access to services for all residents of the Region.

TABLE OF MANAGED CARE ORGANIZATIONS-(MCOs)-Iowa Department of Human Services		
Provider-MCO	Contact Information	
Amerigroup Iowa, Inc.	1-800-600-4441	<a href="http://www.myamerigroup.com/IA">http://www.myamerigroup.com/IA</a>
Iowa Total Care	1-833-404-1061	<a href="https://www.iowatotalcare.com">https://www.iowatotalcare.com</a>

## **C. Crisis Planning**

The Region was formed based on relationships that already existed within the 7<sup>th</sup> Judicial District. The committee for the 7<sup>th</sup> Judicial District Department of Correctional Services, which is made up of one (1) Board of Supervisor from each county, became the initial work group to discuss regionalization. Upon the signing of the 28E Agreement, each county Board then designated a representative to serve on the Eastern Iowa MH/DS Regional Governing Board of Directors.

The Region's total population is 300,789. It is comprised of three (3) urban (per IAC 25.1) counties- Clinton, Muscatine and Scott, and two (2) rural counties- Cedar and Jackson. This resulted in a broad range of resources and providers, and areas where there is a gap in service providers. One (1) of the most notable examples is that Cedar County does not have a hospital located in the county. This was an important fact when discussing implementation of basic crisis response services throughout the Region.

The Region signed an Agreement for Crisis Services with the Robert Young Center (RYC) on January 14, 2016 to develop a full continuum of Mental Health Crisis Services across the Region that are locally delivered and regionally managed to meet state wide standards. The Region continues to build on the crisis system that was established thru this Agreement for Crisis Services with the RYC and in coordination with HF 2456. The EI Region Governing Board will be entering into another contractual arrangement between the Region and RYC.

### **Overview:**

The Crisis System for the EI Region has been built on foundational components that were established using an incremental approach. It is the intention of the EI Region's Crisis System to ensure crisis services are available and accessible to all residents of the five (5) counties. Crisis services for individuals, including those at risk of entering/re-entering either the criminal justice system or admissions to a hospital, have also been put in place to deflect from more costly services.

Much of the prevention, response, and resolution of crisis are handled through services and supports individuals receive such as:

- The utilization of the state wide 24-hour crisis phone line through *Your Life Iowa (855-581-8111)* and online at <http://yourlifeiowa.org/> is available to assist residents with their immediate needs. Your Life Iowa (Foundation 2) staff have information to access Mobile Crisis in the EI Region should the need arise.
- The Mobile Crisis Response Team provided by Foundation 2 is another option that is specifically designed to deal with mental health crises that are identified by law enforcement or other agencies and can be dealt with through therapeutic intervention rather than a criminal justice intervention. The Mobile Crisis Response Team is currently available throughout the Region and serves both Adults and Children. Individuals may access the Response Team by calling toll free: 1-844-430-0375.
- The Region is currently partnering with the Robert Young Center Clinicians to provide Telehealth Assessment Services (Crisis Evaluations) in all seven (7) hospitals in the Region for committal and emergency prescreening assistance along with stabilization and resource/referral. The Telehealth Assessment Services (Crisis Evaluations) are available to both Adults and Children.
- Respite Services provided by Life Connections, Iowa's first Peer Run Respite Home which is located at 1131 Hospital Drive in Dewitt, Iowa that offers a brief respite for adults experiencing a mental health crisis. Individuals may call 563-659-1171 to access the service.



- Crisis Stabilization Residential Services provided by Vera French Community Mental Health Center are available to provide a short term stay for stabilization, less than five (5) days, providing an alternative to hospitalization. It creates another time and space for further evaluation and linkage to services. This service is available to all residents of the Region and located at 2357 W 11<sup>th</sup> St in Davenport, Iowa and serves Adults only. Individuals may access this service by calling 563-396-3017.

Tables of the above services (minus Respite Services) are identified below:

#### 24 Hour Crisis Response

Provider	Location	Contact Information
Foundation2	1540 2 <sup>nd</sup> Ave SE Cedar Rapids, IA	(319) 362-2174

#### 24 Hour Crisis Hotline

Provider	Location	Contact Information
Your life Iowa	Online: <a href="https://yourlifeiowa.org/">https://yourlifeiowa.org/</a>	1-855-581-8111

#### Crisis Evaluation

Provider	Adult/Child/Both	Location	Contact Information
Eagle View Behavioral Health	Both	770 Tanglefoot Ln. Bettendorf, IA 52722	(563) 396-2100
Genesis Medical Center-Davenport	Both	1401 W. Central Park Ave Davenport, IA 52804	(563) 421-1000
Genesis Medical Center-DeWitt	Both	1118 11 <sup>th</sup> St. DeWitt, IA 52742	(563) 659-4200
MercyOne- Clinton	Both	1410 N. 4 <sup>th</sup> St. Clinton, IA 52732	(563) 244-5555
UnityPoint Health Trinity-Bettendorf	Both	4500 Utica Ridge Rd. Bettendorf, IA 52722	(563) 742-5000
UnityPoint Health Trinity-Muscatine	Both	1518 Mulberry Ave. Muscatine, IA 52761	(563) 264-9100
UnityPoint Health Rock Island	Both	2701 17 <sup>th</sup> St. Rock Island, IL 61201	(309) 779-2999

#### Mobile Response

Provider	Location	Contact Information
Foundation2	1540 2 <sup>nd</sup> Ave SE Cedar Rapids, IA 52403	(319) 362-1170

**Crisis Stabilization Community-Based Services**

<b>Provider</b>	<b>Adult/Child</b>	<b>Location</b>	<b>Contact Information</b>
TBD			

**Crisis Stabilization Residential Services**

<b>Provider</b>	<b>Adult/Child</b>	<b>Location</b>	<b>Contact Information</b>
Vera French CMHC	Adult	2357 W. 11 <sup>th</sup> St. Davenport, IA 52804	(563) 396-3017

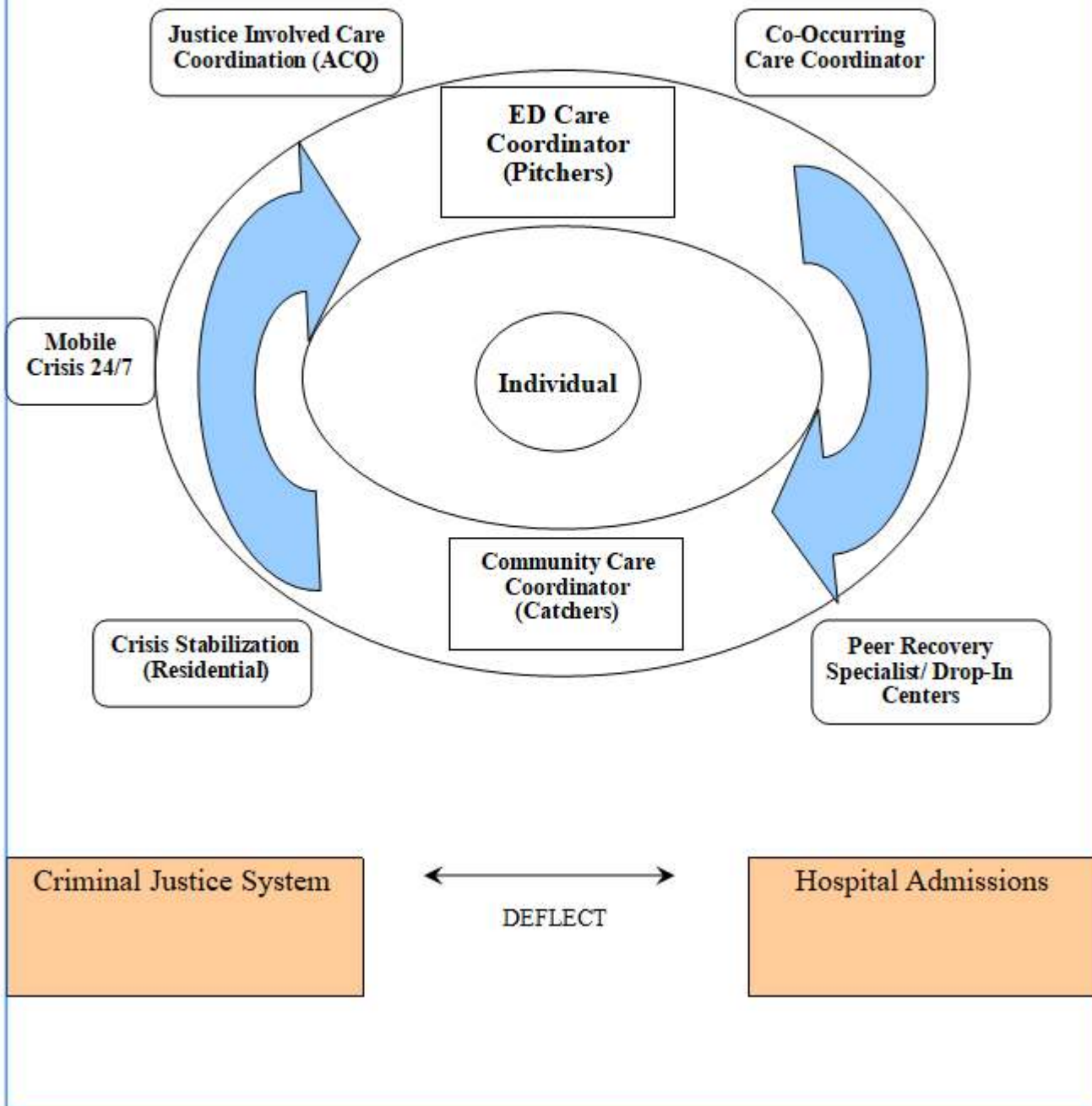
**23-Hour Observation**

<b>Provider</b>	<b>Location</b>	<b>Contact Information</b>
Genesis Medical Center	1401 W. Central Park Ave Davenport, IA 52804	(563) 421-1000

The EI Region collaborates with other Regions to provide crisis services for its residents. In the event an individual accesses a crisis service outside of the EI Region, facts are gathered regarding the nature of the crisis including necessary and basic demographic information to be obtained for payment of services.

The graphic below illustrates how services are wrapped around the individuals under the EI Region Crisis System of Care approach:

## Eastern Iowa Crisis System of Care



#### Contracted System of Care Components for FY 2021:

- 24/7 Emergency Department Telehealth Assessment Services are operational within seven (7) Emergency Departments in the Region. Telehealth is linked to the Robert Young Center's Crisis Stabilization Unit in Rock Island, Illinois and access is available to a QMHP to assess the individual in order to recommend an appropriate level of care.
- Care Coordinator Positions, referred to as the "Pitchers" because they "pitch" to outside agencies, are embedded within the local hospital Emergency Department's (ED) to assist with care coordination within the ED and link them with services post-discharge.
- Community Care Coordinator Positions in the Region are referred to as "Catchers" because they "catch" the referral from the ED Care Coordinators. The staff is available to assist with post discharge from either the ED or an inpatient stay.
- Justice Involved Care Coordinator is a Licensed Position Staff who is able to offer Civil Commitment Mobile Pre-Screens and Mental Health Service Re-Connection to inmates in County jails.
- Co-Occurring Care Coordinator Staff are embedded within local substance abuse providers within the Region. The staff is able to complete an assessment, known as the American Society of Addiction Medicine-ASAM in order to determine appropriate level of care as it pertains to SA treatment.
- Peer Support Services including Peer Recovery Specialists and Drop-In Centers
- Peer-run respite home.
- Mobile Crisis in all five (5) Counties.
- Crisis Stabilization Residential Services are available to provide a short term stay for stabilization, less than five (5) days, providing an alternative to hospitalization. It creates another time and space for further evaluation and linkage to services.

#### The Region's Other Intervention Strategies

In addition to the Eastern Iowa Region Crisis System, there are other services already in place in the Region. The outcomes of these services are to avoid more intensive and costly services such as emergency room visits, inpatient hospitalization and intervention by law enforcement agencies. These services include:

- Short term/limited funding of preventative services such as psychotropic medications.
- Vera French CMHC Same Day Access Appointments.
- Rhonda's House-Iowa's first peer-run Respite House.
- Family-to-Family Education Program sponsored by NAMI.
- Family, Peer and Kids Supports Groups sponsored by NAMI.
- Emergency Lodging Services.
- Mental Health services provided to inmates.
- STEP-IN Crisis Intervention for youth.
- Assistance as needed in completing the Iowa Health and Wellness Plan (IH&W) application.
- SOAR (SSI/SSDI Outreach, Access and Recovery) availability.
- Assertive Community Treatment (ACT) by Vera French Community Mental Health Center.

- Scott County Mental Health Court (SCMHC)
- Intensive Outpatient Services
- Partial Hospitalization
- Medication Assistant Treatment Clinic (MAT)
- Multisystemic Therapy

## **D. Intensive Mental Health Services**

The EI Region Governing Board is concerned about the mandates given to the Regions without a long term funding solution however, they do plan to designate the following provider(s) as an **Access Center** that meet the requirements according to IAC 441—25.6(1) in a coordinated manner in one (1) or more locations.

<b>Provider</b>	<b>Location</b>	<b>Contact Information</b>
Mental Health Access Center	Cedar Rapids, IA (East Central MHDS Region)	Phone number available upon service initiation

The EI Region has designated the following **Assertive Community Treatment (ACT)** teams which have been designated and meet the requirements in IAC 441—25.6(2).

<b>Provider</b>	<b>Location</b>	<b>Contact Information</b>
Vera French Community Mental Health Center	1441 W. Central Park Ave Davenport, IA 52804	(563) 383-1900

The EI Region has plans to designate the following **Subacute** service providers which meet the criteria and are licensed by the Department of Inspections and Appeals.

<b>Provider</b>	<b>Location</b>	<b>Contact Information</b>
Penn Center	East Central MHDS Region	(563) 294-5236

The EI Region has not designated a provider for **Intensive Residential Services** as the Region does not have the funds to pay for such service and there are no providers willing to provide this service.

<b>Provider</b>	<b>Location</b>	<b>Contact Information</b>
TBD based on finances		

## **E. Scope of Services & Budget and Financing Provisions**

The annual budget and planning process is utilized to implement and improve services for Core Domains, Additional Core Domains and other service categories of Mandated Services, Other Informational Services, Essential Community Living Support Services, and Other Congregate Services.

The breakdown of these service categories can be found under: *Tables for Services & Proposed Fiscal Year 2021 Budget* on page 16-19.

### **Funding of Core and Priority Populations**

The Region is responsible to pay for services authorized in accordance with the Management Plan for *priority population* groups of individuals with a diagnosis of Mental Illness and Intellectual Disability for adults and SED for children.

The Region will also pay for the *other population groups* of individuals with a diagnosis of a developmental disability and/or brain injury. The funding for those population groups will continue to be available as long as it does not limit or reduce core services and priority population funding.

Financial projections for the Region show that within one (1) year, fund balances will be non-existent. At the current levy cap of \$30.78, it does not cover all the required services, resulting in the creation of a waiting list. As of 10/1/2019, the EI Region officially started a wait list for services including transportation and community support programs along with no new referrals being accepted for individuals with a diagnosis of DD or BI. The information regarding the waiting list may be found online at the DHS Website: <http://dhs.iowa.gov> and the Region's Website: [www.easterniowamhds.org](http://www.easterniowamhds.org).

The EI Region is the payer of last resort. This allows the Region funds to be used efficiently and responsibly in order to support as many individuals as possible. The Region is responsible for services that are authorized in accordance with the Management Plan Policies and Procedure Manual and within the constraints of budgeted dollars. Services funded are subject to change or termination with the development of the annual budget each fiscal year.

**Adults:** As stated in the Policies and Procedures Manual, copayments for services are assessed for adults with income between 150% and 300% of poverty guidelines (adults with income above 300% of poverty are not eligible). No copayment shall be assessed to adults with income equal to, or less than, 150% of the current Federal Poverty Guidelines. Copayments are not assessed on crisis services, mandated services, jail-related services and all service coordination provided by staff.

**Children:** The family of a child receiving regional funding for behavioral health services shall be responsible for a cost share amount based on their household income as defined in Iowa Code section 331.397A as follows:

Family Income as a % of FPL	Cost Share % Paid by Family
0% to 150%	0%
150% to 200 %	10%
201% to 250 %	15%
251% to 300 %	20%
301% to 350 %	35%
351% to 400 %	50%
401% to 450 %	65%
451% to 500 %	80%
Over 500%	100%

### **Assessment and Evaluation**

An individual applying for funding must meet financial, diagnostic and residency requirements in order to be eligible for assistance. An assessment or evaluation will be available for review by the Coordinator of Disability Services, if necessary, to determine the appropriate services to meet the individual's needs. The goal is to ensure eligible individual's needs are met while being mindful of budget constraints and safety concerns.

- For individuals requesting outpatient mental health services, the Region has determined it will accept a mental health professionals completed assessment and recommendation for treatment.
- For individuals requesting services identified as having a primary diagnosis of an Intellectual Disability and have a completed and current SIS Assessment on file, the SIS Assessment will be reviewed prior to authorizing assistance.
- For individuals identified as having a serious and persistent mental illness, the LOCUS Screening Tool will be used by either the Coordinator of Disability Services or some other entity and reviewed to determine necessity and level of service authorizations. Assistance may be offered on a temporary basis if delaying funding would result in either remaining or moving to a higher level of care.
- For individuals identified as having a primary diagnosis of Intellectual Disability (who do not have a current SIS Assessment), Brain Injury or Developmental Disability, the ICAP tool will be reviewed prior to authorizing assistance.

### **Alternative Funding Sources**

The Coordinator of Disability Services (CDS) staff and Service Coordinators will also assist the individual to apply for all other available funding sources including but not limited to medical assistance programs and identify any natural supports that may provide additional assistance. If no alternative funding source is available, the Region will follow the guidelines for Assessment and Evaluation to determine funding eligibility.

Regional Scope of the Budget:
-------------------------------

### **Process:**

In developing the budget, each county member is asked to provide their budget expenditures and estimates for local county service costs. The Regional fiscal agent and CEO then merge all five (5) County budgets to create one (1) regional budget. The Uniform Chart of Accounts is then reviewed as well as the core and additional core services identified in Senate File 2315 along with new services identified in House File 2456. When compiling the Regional budget, the Management Team, in consultation with the Fiscal Agent, reviews the historical financial information regarding expenditures for existing services and analyzes the need for the expansion or creation of new services. This information is then reviewed with the Region's Governing Board for their input and approval of the annual budget.

### **Crisis Services Budget**

Upon review of the Robert Young Center's proposal for Year 5 of the Crisis Services, and in consideration of the FY2021 Budget, the Board approved the following Crisis System Components:

- 24/7 Emergency Department Tele-Health Services embedded in seven (7) hospitals within the Region. Assessments are performed by a QMHP and are able to make recommendations to hospitals concerning the need for inpatient admission.
- Care Coordination
  - Emergency Department Care Coordinator's, known as "pitchers" are staff physically located in, or are available to, each of the 7 Emergency Departments within the Region.
  - Community Based Care Coordinator's, known as "catchers" are staff physically located in each of the mental health centers within the Region.
- Mobile Crisis in all five (5) counties
- Civil Commitment Pre-Screens and Assessments
  - Justice Involved Care Coordinators, known as the "ACQ" are Licensed Clinical Mental Health professionals. They work directly with the Clerk of Courts to offer a civil commitment pre-screen assessment. They are also able to complete a mental health assessment in County Jails.
  - Co-Occurring Care Coordinators are staff who meets minimum requirements to provide a SA Evaluation and that are embedded in substance abuse treatment providers within the Region. They may also be available to assist with civil commitment pre-screen assessments along with jail based assessments.
- Peer Drop-In Centers including Peer Support Specialists
- Peer-run respite home
- Crisis Stabilization Residential Program

### **New Service Proposals-Children**

The FY2021 Budget, which was approved by the Eastern Iowa Governing Board of Directors on April 20, 2020, has the following services *available* and *budgeted* for on behalf of Children's Behavioral Health Services:

- Assessment and Evaluation (financial eligibility based only);
- Behavioral Health Outpatient Therapy (eligibility based);
- Medication Prescribing and Management (eligibility based);
- Education Services (non-eligibility based);
- Prevention (non-eligibility based).

In addition, the FY 2021 budget for the EI Region includes the following services that are *available* to children in the Region and include Mobile Crisis Response and Behavioral Health Inpatient Treatment.

The EI Region will utilize a braided funding methodology that includes county, regional, state and other funding sources as necessary and available to meet the service needs within the Region.

*Core Domain* services for children's behavioral health are identified by an (\*\*) after the service name. Eligibility guidelines for children's behavioral health services are *anticipated to be* located in Section F of the EI Region Policy and Procedure manual which may be found online at the DHS Website: <http://dhs.iowa.gov> and the Region's Website: [www.easterniowamhds.org](http://www.easterniowamhds.org)

When there is a "\$0" projection in the *Core Domains*, this indicates that the Region did not anticipate any expenses in this area based upon historical data and since the services are typically covered by other funding sources. However, should a need arise in the *Core Domain* categories, funding will be made available for those services not budgeted.



On April 20, 2020, the Governing Board of Directors reviewed and adopted the Regional Budget. The Management Team and the reporting fiscal agent, Scott County, are responsible for managing and monitoring the budget adopted by the Regional Governing Board.

**Table A. Expenditures**

FY 2021 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
Core Domains							
COA	Treatment						
42305	Mental health outpatient therapy **	190,000					\$ 190,000
42306	Medication prescribing & management **	291,000					\$ 291,000
43301	Assessment, evaluation, and early identification **						\$ -
71319	Mental health inpatient therapy-MHI	615,890					\$ 615,890
73319	Mental health inpatient therapy **	140,311					\$ 140,311
	Crisis Services						
32322	Personal emergency response system						\$ -
44301	Crisis evaluation	435,237					\$ 435,237
44302	23 hour crisis observation & holding						\$ -
44305	24 hour access to crisis response						\$ -
44307	Mobile response **	700,220					\$ 700,220
44312	Crisis Stabilization community-based services **						\$ -
44313	Crisis Stabilization residential services **	438,000					\$ 438,000
44396	Access Centers: start-up / sustainability						\$ -
	Support for Community Living						
32320	Home health aide						\$ -
32325	Respite	169,535					\$ 169,535
32328	Home & vehicle modifications						\$ -
32329	Supported community living	861,000	26,000	114,610			\$ 1,001,610
42329	Intensive residential services						\$ -
	Support for Employment						
50362	Prevocational services		5,000				\$ 5,000
50364	Job development						\$ -
50367	Day habilitation	30,000	3,000		15,000		\$ 48,000
50368	Supported employment	8,500	28,600	18,000	3,000		\$ 58,100
50369	Group Supported employment-enclave						\$ -
	Recovery Services						

45323	Family support						\$ -
45366	Peer support	62,775					\$ 62,775
	Service Coordination						
21375	Case management						\$ -
24376	Health homes	6,000					\$ 6,000
	Sub-Acute Services						
63309	Subacute services-1-5 beds						\$ -
64309	Subacute services-6 and over beds						\$ -
	Core Evidenced Based Treatment						
04422	Education & Training Services - provider competency						\$ -
32396	Supported housing						\$ -
42398	Assertive community treatment (ACT)	157,500					\$ 157,500
45373	Family psychoeducation						\$ -
	Core Domains Total	\$4,105,968	\$62,600	\$132,610	\$18,000		\$ 4,319,178
	Mandated Services						
46319	Oakdale						\$ -
72319	State resource centers						\$ -
74XXX	Commitment related (except 301)	154,500					\$ 154,500
75XXX	Mental health advocate	156,712					\$ 156,712
	Mandated Services Total	\$ 311,212	\$ -	\$ -	\$ -		\$ 311,212
	Additional Core Domains						
	Justice system-involved services						
25xxx	Coordination services	746,349					\$ 746,349
44346	24 hour crisis line*						\$ -
44366	Warm line*						\$ -
46305	Mental health services in jails	179,000					\$ 179,000
46399	Justice system-involved services-other						\$ -
46422	Crisis prevention training						\$ -
46425	Mental health court related costs						\$ -
74301	Civil commitment prescreening evaluation	156,509					\$ 156,509
	Additional Core Evidenced based treatment						
42366	Peer self-help drop-in centers	180,000					\$ 180,000
42397	Psychiatric rehabilitation (IPR)	10,000					\$ 10,000
	Additional Core Domains Total	\$1,271,858	\$ -	\$ -	\$ -		\$ 1,271,858
	Other Informational Services						
03371	Information & referral						\$ -
04372	Planning, consultation &/or early intervention (client related) **						\$ -
04377	Provider Incentive Payment						\$ -
04399	Consultation Other						\$ -
04429	Planning and Management Consultants (non-client related)	271,000					\$ 271,000
05373	Public education, prevention and education **	8,000					\$ 8,000
	Other Informational Services Total	\$ 279,000	\$ -	\$ -	\$ -		\$ 279,000

Community Living Supports							
06399	Academic services						\$ -
22XXX	Services management	242,963					\$ 242,963
23376	Crisis care coordination	19,000					\$ 19,000
23399	Crisis care coordination other						\$ -
24399	Health home other						\$ -
31XXX	Transportation	6,100	6,000	150			\$ 12,250
32321	Chore services						\$ -
32326	Guardian/conservator	8,700					\$ 8,700
32327	Representative payee	17,000	1,000				\$ 18,000
32335	CDAC						\$ -
32399	Other support						\$ -
33330	Mobile meals						\$ -
33340	Rent payments (time limited)	1,000					\$ 1,000
33345	Ongoing rent subsidy	40,000					\$ 40,000
33399	Other basic needs	600					\$ 600
41305	Physiological outpatient treatment						\$ -
41306	Prescription meds	7,000					\$ 7,000
41307	In-home nursing						\$ -
41308	Health supplies						\$ -
41399	Other physiological treatment						\$ -
42309	Partial hospitalization						\$ -
42310	Transitional living program						\$ -
42363	Day treatment						\$ -
42396	Community support programs	61,000					\$ 61,000
42399	Other psychotherapeutic treatment						\$ -
43399	Other non-crisis evaluation						\$ -
44304	Emergency care						\$ -
44399	Other crisis services						\$ -
45399	Other family & peer support						\$ -
46306	Psychiatric medications in jail	115,000					\$ 115,000
50361	Vocational skills training						\$ -
50365	Supported education						\$ -
50399	Other vocational & day services						\$ -
63XXX	RCF 1-5 beds (63314, 63315 & 63316)	10,000					\$ 10,000
63XXX	ICF 1-5 beds (63317 & 63318)						\$ -
63329	SCL 1-5 beds						\$ -
63399	Other 1-5 beds						\$ -
	Community Living Supports	\$ 528,363	\$ 7,000	\$150	\$ -		\$ 535,513
Other Congregate Services							
50360	Work services (work activity/sheltered work)						\$ -
64XXX	RCF 6 and over beds (64314, 64315 & 64316)	857,625	16,200				\$ 873,825
64XXX	ICF 6 and over beds (64317 & 64318)						\$ -

64329	SCL 6 and over beds			40,000			\$ 40,000
64399	Other 6 and over beds						\$ -
	Other Congregate Services Total	\$ 857,625	\$16,200	\$40,000	\$ -		\$ 913,825
Administration							
11XXX	Direct Administration					855,024	\$ 855,024
12XXX	Purchased Administration					469,648	\$ 469,648
	Administration Total					\$1,324,672	\$ 1,324,672
	Regional Totals	\$7,354,026	\$85,800	\$172,760	\$18,000	\$1,324,672	\$ 1,324,672
(45XX-XXX)County Provided Case Management							\$ -
(46XX-XXX)County Provided Services							\$ -
	Regional Grand Total						\$ 8,955,258

Transfer Numbers (Expenditures should only be counted when final expenditure is made for services/administration. Transfers are eliminated from budget to show true regional finances)

13951	Distribution to MHDS regional fiscal agent from member county	\$ 3,446,806
14951	MHDS fiscal agent reimbursement to MHDS regional member county	\$

\*24 hour crisis line and warm line are transitioning from additional core to state wide core services with state funding.

\*\*Core services for children with a serious emotional disturbance (SED)

The EI Region has asked each member county to levy at a maximum of \$30.78 per capita rate for FY2021. Ongoing expenditures being shared by the EI Region are the Crisis Services system along with the implementation of the Children's Behavioral Health Services.

## Table B. Revenues

FY 2021 Budget	Eastern Iowa MHDS Region		
Revenues			
	<b>FY20 Projected Ending Fund Balance</b>		<b>2,709,376</b>
	<b>Local/Regional Funds</b>		<b>\$ 8,927,273</b>
10XX	Property Tax Levied	8,586,606	
12XX	Other County Taxes	9,207	
16XX	Utility Tax Replacement Excise Taxes	279,260	
25XX	Other Governmental Revenues	-	
4XXX-5XXX	Charges for Services	12,200	
5310	Client Fees	-	
60XX	Interest	40,000	
6XXX	Use of Money & Property	-	
8XXX	Miscellaneous	-	
9040	Other Budgetary Funds (Polk Only)	-	

		-	
	<b>State Funds</b>		<b>\$ 473,274</b>
21XX	State Tax Credits	391,420	
22XX	Other State Replacement Credits	81,854	
2250	MHDS Equalization	-	
24XX	State/Federal pass thru Revenue	-	
2644	MHDS Allowed Growth // State Gen. Funds	-	
29XX	Payment in Lieu of taxes	-	
		-	
	<b>Federal Funds</b>		<b>\$ -</b>
2344	Social services block grant	-	
2345	Medicaid	-	
	Other	-	
	<b>Total Revenues</b>		<b>\$ 9,400,547</b>

<b>Total Funds Available for FY21</b>	<b>\$ 12,109,923</b>
<b>FY21 Projected Regional Expenditures</b>	<b>\$ 8,955,258</b>
<b>Projected Fund Balance as of 6/30/21</b>	<b>\$ 3,154,665</b>

**Table C. County Levies**

County	2018 Est. Pop.	Regional Per Capita	FY21 Max Levy	FY21 Actual Levy	Actual Levy Per Capita
Cedar County	18,627	30.78	573,339	573,339	30.78
Clinton County	46,518	30.78	1,431,824	1,431,824	30.78
Jackson County	19,432	30.78	598,117	598,117	30.78
Muscatine County	42,929	30.78	1,321,355	1,321,355	30.78
Scott County	173,283	30.78	5,333,651	5,333,651	30.78
<b>Total XXXX Region</b>	<b>300,789</b>		<b>9,258,286</b>	<b>9,258,286</b>	<b>30.78</b>

## **F. Financial Forecasting Measures**

The following is a description of the financial forecasting measures used by Eastern Iowa MHDS Region in the identification of service need and funding necessary for services.

### **Practice**

The CEO and the Management team, including the fiscal agent along with local county budget directors, oversee development of the annual regional budget to analyze and estimate revenue and expenditures trends from each member county. The Region's budget is monitored and reported on a monthly basis to the EI Region Governing Board for progress toward goals, to help control spending, and to predict (forecast) cash flow and profit. Throughout the year, the Region identifies unmet needs and areas for service development.

## **Analysis**

The EI Region has followed all laws over the past six (6) years that dealt with levies, fund balance and services including depleting its fund balance within two (2) years as required by SF 504. The last two (2) legislative sessions created additional mandates for the Regions with no additional funding.

The EI Region has one of the lowest per capita rates in the State of \$30.78 which generates \$9.2 million. The last two (2) fiscal years (FY18 and FY19) the Region used fund balance in order to balance the budget, pay providers and start new core services.

In the 2019 legislative session, the Children's Behavioral Health bill was passed (HF690), again creating additional mandates and no new funding. The EI Region's FY21 budget looks very different than the last two (2) years as the Governing Board requested a revenue neutral budget. Because the budget is projected at \$8,955,258 million, services have been reduced or eliminated including starting waiting lists which may be found online at the DHS Website: <http://dhs.iowa.gov> and the Region's Website: [www.easterniowamhds.org](http://www.easterniowamhds.org).

Providers are worried about keeping their doors open and safety net services are being reduced or eliminated. Elected officials in the Region continue to advocate for a funding fix however, ALL Regions across the state need a long term stable funding solution in order to plan and implement future services.

## **New Services Uncertainty**

HF 2456, passed during the FY 2018 Legislative Session, requires new, intensive mental health services to address the needs of the complex needs individuals. The new services include: Access Centers, Assertive Community Treatment Teams (ACT), and Intensive Residential Services. HF 2456 also moved several "Additional Core" services to the "Core" category, thus making them mandated services and include the 23 Hour Crisis Observation/Holding, Crisis Stabilization Community Based and Residential Based, and Sub-Acute. Also, the Children's Behavioral Health System is now another obligation to the EI Region as HF 690 passed in 2019 and must be implemented for FY 21. The Region continues to review the financial impact of establishing these new intensive core services, while at the same time maintaining their current level of service provisions. Financial projections for the Region show that within one (1) year, fund balances will be non-existent. At the current levy cap of \$30.78, it does not cover all the required services, which has resulted in the beginning of waiting lists and reduction in services for the EI Region.

## **G. Provider Reimbursement Provisions**

The following is a description of the types of funding used by the Eastern Iowa MHDS Region.

The EI Region will incorporate all funding sources for individuals including Medicaid to ensure that mental health and disability services are available to all resident of the Region regardless of a funding source. The EI Region will ensure that individuals apply for all funding sources prior to accessing regional funding, including private insurance, Medicaid, Medicare and other funding mechanisms which ensure that individuals and their families are able to optimally live, work and recreate in an integrated community of their choice.

The Region's service contracts and/or rate agreements require providers meet all applicable licensure, accreditation or certification standards. The Region contracts or enters into an annual rate agreement with providers whose base of operation may or may not be within the Region. It has been decided a contract/agreement may not be required for a one-time or "as needed" service. All contracts and associated documentation will be kept in a central file located in the office of the Region's CEO. The Region agrees to abide by other Region's contractual terms.

The Region uses a “fee for service” reimbursement methodology for a majority of its services. The Region may also utilize a capacity grant (block grant) payment for activities not specific to one individual but that benefit the population as a whole.

The Region continues to work with the Robert Young Center (RYC) to develop, implement and manage the Crisis Services System. The Region and RYC utilizes a “capacity grant” (block grant) funding mechanism, but will be exploring a transition to a blended funding source for sustainability purposes.

The Community Services Network (CSN) will be the standardized program used for data collection. The Region intends to use non-traditional providers when appropriate.